10/20/2008 17:00

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

FORM 3X	For Other Than An Aut	horized Committee	Office U	lse Only
NAME OF COMMITTEE (in full)	USE FEC MAILING LABEL OR TYPE OR PRINT ₩	Example:If typing, type over the lines		
Securities Industry and Fir	nancial Markets Association Politic	cal Action Committee		
ADDRESS (number and street) Check if different	8th Floor	w		
than previously reported. (ACC)	Washington			20005
2. FEC IDENTIFICATION N	UMBER ♥ CIT	TY 🛋	STATE	ZIPCODE 🛕
C00431312	-	S THIS X NEW (N) C	AMENDED (A)	
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report July 15 Quarterly Report October 15 Quarterly Report January 31 Quarterly Report July 31 Mid-Yea Report(Non-elect Year Only) (MY) Termination Reg (TER)	t(Q1) t(Q2) t(Q2) t(Q3) t(YE) (d) The port in the image of the i	General (30G)	M6) Sep 20 (M9)	Nov 20 (M11) (Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only) Jan 31 (YE) Runoff (12R) in the State of Special (30S) in the State of
5. Covering Period	09 01 2008	through 0	9 30 2008	3
Type or Print Name of Treasure	er Richard J. Hunt etronically Filed by Richard J. Hu		Date 10 2	0 2008
NOTE : Submission of false, e	rroneous, or incomplete informatio	n may subject the person signin	ng this Report to the penalties	s of 2 U.S.C 437g.
Office Use				C FORM 3X

FE6AN026

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

F	eport Covering the Period: From:	01 2008	To: 0 9 3 0 Y Y Y Y Y Y
	_	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1 Ž008 Y Y		208009.19
	(b) Cash on Hand at Begining of Reporting Period	188293.46	
	(c) Total Receipts (from Line 19)	47260.94	490935.33
	(d) Subtotal (add lines 6(b) and		
	6(c) for Column A and Lines 6(a) and 6(c) for Column B)	235554.40	698944.52
7.	Total Disbursements (from Line 31)	185145.74	648535.86
3.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	50408.66	50408.66
).	Debts and Obligations owed TO		
	the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
0.	Debts and Obligations owed BY		
	the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

0 1 3^D0 М М м м 0 9 2008 2008 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 398349.98 35910.94 (i) Itemized (use Schedule A) 350.00 27085.35 (ii) Unitemized (iii) TOTAL (add 36260.94 425435.33 Lines 11(a)(i) and (ii) 0.00 0.00 (b) Political Party Committees Other Political Committees 10000.00 62000.00 (such as PACs) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry 46260.94 487435.33 Totals to Line 33, page 5) 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees 0.00 0.00 13. All Loans Received 0.00 0.00 14. Loan Repayments Received 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5) 16. Refunds of Contributions Made to Federal candidates and Other 1000.00 3500.00 Political Committees 17. Other Federal Receipts 0.00 0.00 (Dividends, Interest, etc.) 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3) 0.00 0.00 (b) Levin Funds (from Schedule H5) 0.00 0.00 (c) Total Transfer (add 18(a) and 18(b)). 19. Total Receipts (add Lines 11(d), 47260.94 490935.33 12, 13, 14, 15, 16, 17, and 18(c)) 20. Total Federal Receipts 47260.94 490935.33 (subtract Line 18(c) from Line 19)

23.

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 02/2003)

Expenditures.....

II. DISBURSEMENTS

(a) Shared Federal/Non-Federal Activity (from Schedule H4)

(b) Other Federal Operating

22. Transfers to Affiliated/Other Party

Contributions to

24. Independent Expenditure

27. Loans Made..... 28. Refunds of Contributions To:

(c) Total Operating Expenditures

26. Loan Repayments Made.....

Individuals/Persons Other

(such as PACs)

(b) Political Party Committees (c) Other Political Committees

(d) Total Contribution Refunds

29. Other Disbursements.....

(a) Shared Federal Election Activity

(i) Federal Share

(ii) "Levin" Share (b) Federal Election Activity Paid Entirely

With Federal Funds (c) Total Federal Election Activity (add

23, 24, 25, 26, 27, 28(d), 29 and 30(c))..

(subtract Line 21(a)(ii) and Line 30(a)(ii)

32. Total Federal Disbursements

from Line 31).....

Lines 30(a)(i), 30(a)(ii) and 30(b))....

(from Schedule H6)

21. Operating Expenditures:

of Disbursements Page 4 **COLUMN A COLUMN B Total This Period** Calendar Year-to-Date 0.00 0.00 (i) Federal Share..... 0.00 0.00 (ii) Non-Federal Share..... 145.74 3535.86 145.74 3535.86 (add 21(a)(i), (a)(ii) and (b))............ 0.00 0.00 Committees..... Federal Candidates/Committees.....and Other Political Committees..... 185000.00 645000.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 Than Political Committees 0.00 0.00 0.00 0.00 0.00 0.00 (add Lines 28(a), (b), and (c)) 0.00 0.00 30. Federal Election Activity (2 U.S.C 431(20)) 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 31. Total Disbursements (add Lines 21(c), 22, 185145.74 648535.86

185145.74

648535.86

F	F	6/	41	J٨	26
•	_	v	v	40	~

DETAILED SUMMARY PAGE

Page 5

of Disbursements

FEC Form 3X (Rev. 02/2003)

	III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33.	Total Contributions (other than loans) from Line 11(d), page 3)	46260.94	487435.33
34.	Total Contribution Refunds (from Line 28(d))	0.00	0.00
5.	Net Contributions (other than loans) (subtract Line 34 from Line 33)	46260.94	487435.33
86.	Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	145.74	3535.86
37.	Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38.	Net Operating Expenditures (subtract Line 37 from Line 36)	145.74	3535.86

FE6AN026

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 44 (check only one) X 11a 11b 11c 12 13 14 15 16 17
C	Any information copied from such Reports and or for commercial purposes, other than using t	Statements may note that the name and addre	ot be sold or used by any pers ss of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	ırkets Associatio	n Political Action Commit	tee
∠ 4.	Full Name (Last, First, Middle Initial) Mr. Michael J. MacWade			Date of Receipt
	Mailing Address 4 Scotland Drive			09 / 09 / 2008
	City	State	Zip Code	Transaction ID: 15879457
	Andover	MA	01810	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Fidelity Brokerage	Occupation Sr. VP		
	Receipt For:	Aggregate Ye	ear-to-Date ▼	
	Primary General Other (specify) ▼	0 0 0	500.00	Contribution
 3.	Full Name (Last, First, Middle Initial) Mr. John Cook			Date of Receipt
	Mailing Address 2 Isaac Sprague Driv	/e		09 / 10 / Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 15879498
	Hingham	MA	02043	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		500.00
	Name of Employer Fidelity Brokerage	Occupation Sr. VP		
	Receipt For:	Aggregate Ye	ear-to-Date ▼	
	Primary General Other (specify) ▼		500.00	Contribution
- :.	Full Name (Last, First, Middle Initial) Mr. John W. Callahan			Date of Receipt
	Mailing Address 18 Putnam Road			09 / 10 / Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 15879552
	Acton	MA	01720	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		1000.00
	Name of Employer Fidelity Brokerage	Occupation President		
	Receipt For:	Aggregate Yo	ear-to-Date ▼	
	Primary General Other (specify) ▼	0 0 0	1000.00	Contribution
Г	SUBTOTAL of Receipts This Page (optional)			2000.00

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7 / 44 (check only one) X
7	Any information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	e name and ad	dress of any political committee to	o solicit contributions from such committee.
/	Full Name (Last, First, Middle Initial)			
۹.	Mr. Scott M. Gygi Mailing Address 8119 Wycliffe Drive			Date of Receipt
	City	State	Zip Code	0 9 1 0 2 0 0 8 Transaction ID: 15879554
	<u>Cincinnati</u>	OH	45244	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer Fidelity Brokerage	Occupatio Sr. Vice	n President	
	Receipt For: Primary General Other (specify)		e Year-to-Date ▼ 1000.00	Contribution
_ 3.	Full Name (Last, First, Middle Initial) Mr. Michael J. Lyons Mailing Address 2000 Kanaett Book	1		Date of Receipt
	Mailing Address 269 Kensett Road			09 10 2008
	City	State	Zip Code	Transaction ID: 15879558
	Manhasset	NY	11030-2148	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Fidelity Brokerage	Occupatio Executive		
	Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 500.00	Contribution
 ;.	Full Name (Last, First, Middle Initial) Mr. Chris Warren	1		Date of Receipt
	Mailing Address 7 White Dove Road			0 9 1 0 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 15879576
	Franklin FEC ID number of contributing federal political committee.	C	02038-4215	Amount of Each Receipt this Period 500.00
	Name of Employer Fidelity Brokerage	Occupatio Sr. VP	n	7
	Receipt For: Primary General Other (specify) ▼	,	e Year-to-Date ▼ 500.00	Contribution
Γ	SUBTOTAL of Receipts This Page (optional)	•		2000.00

ľ	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS	21.1	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 44 (check only one) X 11a 11b 11c 12 13 14 15 16 17
7	Any information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	e name and ad	dress of any political committee to	o solicit contributions from such committee.
/		Nets Associa	diction i diffical Action Commit	
Α.	Full Name (Last, First, Middle Initial) Mr. Kurt Muller			Date of Receipt
	Mailing Address 4 Pine Ridge Road			09 10 2008
	City	State	Zip Code	Transaction ID: 15879581
	Stow	MA	01775-1365	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Fidelity Brokerage	Occupation Sr. VP	n	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
_ В.	Full Name (Last, First, Middle Initial) Mr. Stephen Richard			Date of Receipt
	Mailing Address 202 N Street #3			09 / 10 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 15879587
	Boston FEC ID number of contributing federal political committee.	C	02127-3464	Amount of Each Receipt this Period 500.00
	Name of Employer Fidelity Brokerage	Occupation Sr. VP	on	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
_ C.	Full Name (Last, First, Middle Initial) Mr. Tom Restivo			Date of Receipt
	Mailing Address 72 Hillside Road			0 9 1 0 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 15879613
	Cumberland FEC ID number of contributing federal political committee.	C	02864-3206	Amount of Each Receipt this Period 500.00
	Name of Employer Fidelity Brokerage	Occupation Sr. VP	n	
	Receipt For: Primary General Other (specify) ▼	- 	e Year-to-Date ▼ 500.00	contribution
	SUBTOTAL of Receipts This Page (optional) .	<u> </u>		1500.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 9 / 44 (check only one) X 11a 11b 11c 12 13 14 15 16 1
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	ne name and ad	dress of any political committee to	o solicit contributions from such committee.
Securities Industry and Financial Mai	rkets Associa	tion Political Action Commit	tee
Full Name (Last, First, Middle Initial) Ms. Sandra Metraux			Date of Receipt
Mailing Address 12 Page Road			09 10 2008
City	State	Zip Code	Transaction ID: 15879883
Weston	MA	02493-2127	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		1000.00
Name of Employer Fidelity Brokerage	Occupation Exe. VP	n	
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Michael Norton			Date of Receipt
Mailing Address 22 Eastmount Road			0 9 1 0 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 15880140
Medfield	MA	02052-2719	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Fidelity Brokerage	Occupation Sr. VP	on .	
Receipt For: Primary General	Aggregate	e Year-to-Date ▼	
Primary General Other (specify) ▼		500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Mark C. Healy			Date of Receipt
Mailing Address 394 Atlantic Avenue			09 10 2008
City	State	Zip Code	Transaction ID: 15880141
Cohasset	MA	02025-1159	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		1000.00
Name of Employer Fidelity Brokerage	Occupation Exe. VP	on	
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1000.00	Contribution
SUBTOTAL of Receipts This Page (optional)	1		2500.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 10 / 44 (check only one) X
Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark			
Full Name (Last, First, Middle Initial) Mr. James Coughlin			Date of Receipt
Mailing Address 18 Myers Farm Road			0 9 1 0 2 0 0 8
City	State	Zip Code	Transaction ID: 15880148
<u>Hingham</u>	MA	02043-3182	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Fidelity Brokerage	Occupation Sr. VP	1	
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Roberta Masiello			Date of Receipt
Mailing Address 197 Eighth Street PH 11			09 10 2008
City	State	Zip Code	Transaction ID: 15880151
Charlestown	MA	02129-4208	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		500.00
Name of Employer Fidelity Brokerage	Occupation Sr. VP	1	
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General Other (specify) ▼	0 0	500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Edward O'Brien			Date of Receipt
Mailing Address 21 Powers Lane			09 / 10 / 2008
City	State	Zip Code	Transaction ID: 15880164
Attleboro	MA	02703-1072	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Fidelity Brokerage	Occupation Sr. VP		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 500.00	Contribution
			1500.00

	HEDULE A (FEC Form 3X) MIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 44 (check only one) X 11a 11b 11c 12 13 14 15 16 17
or for	nformation copied from such Reports and S commercial purposes, other than using the	tatements may name and add	y not be sold or used by any persod dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
\	AME OF COMMITTEE (In Full) ecurities Industry and Financial Mark	ets Associa	tion Political Action Commit	tee
A. <u>M</u>	ull Name (Last, First, Middle Initial) r. Marc Gary			Date of Receipt
_	ailing Address 123 Sargent Street			09 / 10 / 2008
Ci N	ewton	State MA	Zip Code 02458-2337	Transaction ID: 15880166 Amount of Each Receipt this Period
FE	EC ID number of contributing deral political committee.	C		1500.00
Na Fi	ame of Employer delity Brokerage	Occupation Exe. VP	n	
Re	eceipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 1500.00	Contribution
	ull Name (Last, First, Middle Initial) s. Cate Rioles			Date of Receipt
M	ailing Address 20 Calvin Road			09 10 2008
Ci		State	Zip Code	Transaction ID: 15880241
	amaica Plain	MA	02130-3415	Amount of Each Receipt this Period
fe	EC ID number of contributing deral political committee.	C		500.00
Na Fi	ame of Employer delity Brokerage	Occupation Sr. VP	n	
Re	eceipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
	ull Name (Last, First, Middle Initial) r. John J. Remondi			Date of Receipt
M	ailing Address 300 Boylston Street			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Ci		State MA	Zip Code	Transaction ID: 15880244
FE	oston EC ID number of contributing deral political committee.	C	02116-3923	Amount of Each Receipt this Period 1500.00
Na Fi	ame of Employer delity Brokerage	Occupation Sr. VP	n	
Re	eceipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1500.00	Contribution
SUB	STOTAL of Receipts This Page (optional)			3500.00
	AL This Period (last page this line number		<u> </u>	

	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 12 / 44 (check only one) X
A 0	ny information copied from such Reports and r for commercial purposes, other than using the	Statements ma e name and ad	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	kets Associa	tion Political Action Commit	tee
	Full Name (Last, First, Middle Initial) Mr. Andrew T. Fay			Date of Receipt
	Mailing Address 16 Taintor Drive			09 10 2008
	City Sudbury	State MA	Zip Code 01776-1267	Transaction ID: 15880247 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		500.00
	Name of Employer Fidelity Brokerage	Occupation Sr. VP	n	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
_	Full Name (Last, First, Middle Initial) Ms. Gail C. Graham			Date of Receipt
	Mailing Address 39 Central Street			09 10 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 15880249
	Manchester	MA	01944-1312	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer Fidelity Brokerage	Occupation Sr. VP	n	
	Receipt For:	Aggregate	e Year-to-Date 🔻	
	Primary General Other (specify) ▼	0 0	1000.00	Contribution
_	Full Name (Last, First, Middle Initial) Ms. Dawn M. Piccolo			Date of Receipt
	Mailing Address 42 Jefferson Road			09 10 2008
	City	State	Zip Code	Transaction ID: 15880254
	Winchester	MA	01890-3152	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Fidelity Brokerage	Occupation Sr. VP	on	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
	SUBTOTAL of Receipts This Page (optional)			2000.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 13 / 44 (check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	Statements may not be sold or used by any pene name and address of any political committee rkets Association Political Action Comm	
Full Name (Last, First, Middle Initial) Mr. Michael J. Di Girolamo Mailing Address 997 31st Terrace NE City Saint Petersburg FEC ID number of contributing federal political committee. Name of Employer Raymond James Financial, Inc.	State Zip Code FL 33704-2330 C Occupation Managing Director	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. James A. Tricarico, Jr. Mailing Address 766 Park Avenue		Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 15880262
Manhasset	NY 11030-2809	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer Edward Jones Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation General Counsel Aggregate Year-to-Date 5000.00	Contribution
Full Name (Last, First, Middle Initial)		
Rob Gannon Mailing Address 3 Higgins Road		Date of Receipt M M D D Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 15885451
Old Bridge	NJ 08857-2749	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	13.16
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	Occupation Vice President Aggregate Year-to-Date ▼ 210.53	
SUBTOTAL of Receipts This Page (optional)	-	5513.16

ľ	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS any information copied from such Reports and S	Statements ma	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 14 / 44 (check only one) X
	r for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Marl	e name and ad	dress of any political committee to	o solicit contributions from such committee.
∠ 4.	Full Name (Last, First, Middle Initial) Mr. John A. Anderson			Date of Receipt
	Mailing Address 9750 Oatley Lane			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City Burke	State VA	Zip Code 22015-4423	Transaction ID: 15885452 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		192.31
	Name of Employer Securities Industry and Financial Mark Receipt For: □ Primary □ General Other (specify) ▼	, ' 	g Director e Year-to-Date ▼	
 3.	Full Name (Last, First, Middle Initial) Mr. Frank DiMarco Mailing Address 8 Watch Hill Road			Date of Receipt 0 9 1 2 2 0 0 8
	City	State	Zip Code	Transaction ID: 15885453
	Pleasantville FEC ID number of contributing federal political committee.	C	10570-2533	Amount of Each Receipt this Period
	Name of Employer Fidelity Brokerage	1	e Vice President	
	Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 1000.00	Contribution
_).	Full Name (Last, First, Middle Initial) Mr. Norman R. Malo Mailing Address P.O. Box 126			Date of Receipt 0 9 1 9 2 0 0 8
	City	State	Zip Code	Transaction ID: 15922304
	Dutton FEC ID number of contributing federal political committee.	C	23050	Amount of Each Receipt this Period
	Name of Employer Fidelity Brokerage	Occupation CEO &C		
	Receipt For: Primary General Other (specify)	, '	e Year-to-Date ▼ 1000.00	Contribution
	SUBTOTAL of Receipts This Page (optional)	1		2192.31

	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 15 / 44 (check only one) X 11a 11b 11c 12 13 14 15 16 11
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	ny information copied from such Reports and S r for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Marl	e name and add	dress of any political committee to	o solicit contributions from such committee.
	Full Name (Last, First, Middle Initial) Mr. Tom Harrington			Date of Receipt
	Mailing Address 8654 Buttonwood Lan			09 / 19 / 2008
	City	State	Zip Code	Transaction ID: 15922306
	Pinellas Park	FL	33782-4319	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Raymond James Financial, Inc.	Occupation VP Natio	n nal Sales	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 500.00	Contribution
_	Full Name (Last, First, Middle Initial) Ms. Ellyn A. McColgan			Date of Receipt
	Mailing Address 91 Central Park W 8A			09 18 2008
	City	State	Zip Code	Transaction ID: 15922308
	New York	NY	10023-4600	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		5000.00
	Name of Employer Morgan Stanley	Occupation President		
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 5000.00	Contribution
	Full Name (Last, First, Middle Initial) Mr. Thomas D. Lux			Date of Receipt
	Mailing Address 206 Robincrest Lane			09 19 2008
	City	State	Zip Code	Transaction ID: 15922309
	Glenview	<u>IL</u>	60025	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Fidelity Brokerage	Occupation Sr. VP	n	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 500.00	Contribution
	SUBTOTAL of Receipts This Page (optional)			6000.00

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 16 / 44 (check only one) X 11a 11b 11c 12 13 14 15 16 17
	Any information copied from such Reports and or for commercial purposes, other than using the	Statements ma e name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	kets Associa	tion Political Action Commit	tee
∠ A.	Full Name (Last, First, Middle Initial) Ms. Kelley Anne Laurel			Date of Receipt
	Mailing Address 15 Peterson Circle			09 / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 15922310
	Sudbury	MA	01776-1946	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Fidelity Brokerage	Occupation Sr. VP	n	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		500.00	Contribution
- В.	Full Name (Last, First, Middle Initial) Ms. Martha B. Willis			Date of Receipt
	Mailing Address 25 Spray Avenue			09 19 2008
	City	State	Zip Code	Transaction ID: 15922311
	<u>Marblehead</u>	MA	01945-2746	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer Fidelity Brokerage	Occupation Exe. VP	n	
	Receipt For:	Aggregate	e Year-to-Date 🔻	
	Primary General Other (specify) ▼	0 0	1000.00	Contribution
- C.	Full Name (Last, First, Middle Initial) Mr. C. Bruce Johnstone			Date of Receipt
	Mailing Address 827 Charles River Str	eet		0 9 1 9 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 15922312
	Needham	MA	02492-1007	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1500.00
	Name of Employer Fidelity Brokerage	Occupation Exe. VP	n	
	Receipt For:	Aggregate	e Year-to-Date 🔻	
	Primary General Other (specify) ▼	0 0	1500.00	Contribution
ſ	CURTOTAL of Descripts This Page (action)	1		3000.00
-	SUBTOTAL of Receipts This Page (optional) .		·······	
	TOTAL This Period (last page this line numbe	r only))	

П	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 17 / 44 (check only one) X 11a
O	ny information copied from such Reports and r for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	ne name and ad	dress of any political committee to	o solicit contributions from such committee.
	Securities industry and Financial Ma	INELS ASSUCIA	MON POMICAI ACTION COMMI	iee
۸.	Full Name (Last, First, Middle Initial) Mr. William Loehning	1		Date of Receipt
	Mailing Address 132 Adams Point Ro	ad		09 19 2008
	City	State	Zip Code	Transaction ID: 15922313
	Barrington	RI	02806-5045	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer Fidelity Brokerage	Occupation Exe. VP	on	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1000.00	Contribution
- s.	Full Name (Last, First, Middle Initial) Ms. Ileane F. Rosenthal			Date of Receipt
	Mailing Address 9613 Reach Road			09 19 2008
	City	State	Zip Code	Transaction ID: 15922314
	Potomac	MD	20854-2857	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer Securities Industry and Financial Mark Receipt For:	- '	on aging Director e Year-to-Date ▼	
	Primary General Other (specify) ▼	7 iggi ogali	1000.00	Contribution
	Full Name (Last, First, Middle Initial) Mr. Robert F Shuck			Date of Receipt
	Mailing Address 13606 Eagles Walk			09 23 2008
	City	State	Zip Code	Transaction ID: 15925198
	Clearwater	FL	33762-5529	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Raymond James Financial, Inc.	Occupation Vice Cha		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
	SUBTOTAL of Receipts This Page (optional)	1		2500.00

SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 18 / 44 (check only one) X 11a 11b 11c 12
Any information copied from such Reports	and Statements may not be sold or used by any pers	on for the purpose of soliciting contributions
or for commercial purposes, other than usin	g the name and address of any political committee to	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial	Markets Association Political Action Commit	tee
Full Name (Last, First, Middle Initial) Mr. Larry C. Renfo		Date of Receipt
Mailing Address 11 Starr Avenue V		09 / 23 / Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 15925204
Andover	MA 01810-4288	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1500.00
Name of Employer Fidelity Brokerage	Occupation Sr. VP	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. John A. Anderson	'	Date of Receipt
Mailing Address 9750 Oatley Lane		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 15933972
<u>Burke</u>	VA 22015-4423	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	192.31
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2115.41	
Full Name (Last, First, Middle Initial) Rob Gannon		Date of Receipt
Mailing Address 3 Higgins Road		0 9 2 4 2 0 0 8
City	State Zip Code	Transaction ID: 15933973
Old Bridge	NJ 08857-2749	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	13.16
Name of Employer Securities Industry and Financial Mark	Occupation Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	223.69	
CUPTOTAL (C) 11 THE C (C)		1705.47
SUBTUTAL of Receipts This Page (option	nal)	

TOTAL This Period (last page this line number only)

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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 19 / 44 (check only one) 11a 11b 11c 12 13 14 15 X 16 17
Any information copied from such Reports and or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	rkets Association Political Action Comm	nittee
Full Name (Last, First, Middle Initial) The Reed Committee Mailing Address P.O. Box 8628		Date of Receipt M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 15922422
Cranston	RI 02920	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00238907	1000.00
Name of Employer	Occupation	
Receipt For: 2008 X Primary General Other (specify)	Aggregate Year-to-Date ▼ 1000.00	Refund of 3/26/07 Contribution

SUBTOTAL of Receipts This Page (optional)	•	1000.00
TOTAL This Period (last page this line number only)	•	1000.00

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 20 / 44 (check only one) 11a 11b X 11c 12 13 14 15 16 17
	Any information copied from such Reports and St or for commercial purposes, other than using the	atements ma	y not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Marke	ets Associa	tion Political Action Committ	ее
Α.	Full Name (Last, First, Middle Initial) Northwestern Mutual PAC			Date of Receipt
	Mailing Address 770 East Wisconsin Av	renue		09 / 23 / 2008
	City	State	Zip Code	Transaction ID: 15925200
	Milwaukee	WI	53202	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C C0	0197095	5000.00
	Name of Employer	Occupatio	n	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 5000.00	Contribution
В.	Full Name (Last, First, Middle Initial) Merrill Lynch & Co., Inc., PAC			Date of Receipt
	Mailing Address 1455 Pennsylvania Ave Suite 950	enue		09 / 26 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 15934510
	Washington	DC	20004	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C C0	0040550	5000.00
	Name of Employer	Occupatio	n	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 5000.00	Contribution

SUBTOTAL of Receipts This Page (optional)	•	10000.00
TOTAL This Period (last page this line number only)	•	10000.00

CHEDULE B (FEC Form 3X)		arate schedule(s)			R LINE	NUME y one)	ER:				PAGE	21 /	44
EMIZED DISBURSEMENTS	Detailed S	category of the Summary Page		Ì	21b 27	22 28a		X 2	3 8b	24 28		25 29	
ny Information copied from such Reports and Stater for commercial purposes, other than using the nam													s
NAME OF COMMITTEE (In Full)	ic and addres	33 of any political t	501111	TITLE		mon con	111101	atioi	13 110	111 3uc	1 0011	milloc	
Securities Industry and Financial Markets	Association	n Political Actio	n C	om	mitte	Э							
Full Name (Last, First, Middle Initial) Freedom and Security PAC										1588	3604	7	
Mailing Address 1117 Atwood Court						0	Э М	/	^D 1	^D /	Y	ž o ŏ 8	3 ^Y
City Shakopee	State MN	Zip Code 55379				Am	ount	of E	Each	Disbur	seme	nt this I	Perio
Purpose of Disbursement Contribution				011		L					5	0.00	0
Candidate Name Freedom and Security PAC			Са	tego Type	ory/								
Office Sought: House Disburs Senate President	ement For: Primary Other (spe	General cify) ▼	<u>'</u>	. 300	•	Con	trib	utio	n				
State: District: Full Name (Last, First, Middle Initial)						_				158	3605	0	
Friends Of Jeb Hensarling						Dat	e of	Dist	Durse	ment	V	v · v ·	V
Mailing Address Post Office Box 181058						O	9 "			6		ž 0 ŏ 8	3 '
City Dallas	State TX	Zip Code 75206				Am	ount	of E	Each	Disbur	seme	nt this I	Perio
Purpose of Disbursement Contribution				011		L					2	500.0	0
Candidate Name Jeb Hensarling			Ca	tego Type	ory/								
Senate President	ement For: Primary Other (spe	2008 X General		<u>,, </u>		Con	trib	utio	n				
State: TX District: 05													
Full Name (Last, First, Middle Initial) Kay Granger Campaign Fund										1588 ment	3605	6	
Mailing Address 910 Houston Street Suite 105-C						O	9 M	/	1	6 /	Y	ž 0 ŏ 8	3 ^Y
City Fort Worth	State TX	Zip Code 76102				Am	ount	of E	ach	Disbur	seme	nt this I	Peric
Purpose of Disbursement Contribution				011			_				1	500.0	0
Candidate Name Kay Granger			Са	tego Type	ory/								
Office Sought: X House Disburs Senate President	ement For: Primary Other (spe	2008 X General				Con	trib	utio	n				
State: TX District: 12	_ Calor (Spe	~ <i>j</i>) ▼											
					_						Of	0.00	n
SUBTOTAL of Disbursements This Page (optional)											al	יט.טיע.טי	Ų

SCHEDULE B (FEC FOIII 3X)	Use separate schedule(s)	(check only	NUMBER: PAGE 22 / 44
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 27	22 X 23 24 25 28a 28b 28c 29
Any Information copied from such Reports and Stat or for commercial purposes, other than using the na			
NAME OF COMMITTEE (In Full) Securities Industry and Financial Market	· · · · · · · · · · · · · · · · · · ·		
Full Name (Last, First, Middle Initial) Kevin McCarthy for Congress			Transaction ID: 15886059 Date of Disbursement
Mailing Address P.O. Box 12667			$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & 9 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & D \\ 1 & 6 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y & Y \\ 2 & 0 & 0 & 8 \end{bmatrix}$
City Bakersfield	State Zip Code CA 93389		Amount of Each Disbursement this Perio
Purpose of Disbursement Contribution Candidate Name		011	3000.00
Rep. Kevin McCarthy	sement For: 2008	Category/ Type	
Senate President State: CA District: 22	Primary X General Other (specify) ▼		Contribution
Full Name (Last, First, Middle Initial) Latham for Congress			Transaction ID: 15886060 Date of Disbursement
Mailing Address Post Office Box 174			0 9 / 1 6 / Y 2 0 0 8
City Sioux City	State Zip Code IA 51102		Amount of Each Disbursement this Period
Purpose of Disbursement Contribution	31102	011	3000.00
Candidate Name Rep Tom Latham		Category/ Type	
Office Sought: X House Senate President State: IA District: 05	sement For: 2008 Primary X General Other (specify) ▼		Contribution
Full Name (Last, First, Middle Initial) Bob Corker For Senate			Transaction ID: 15886062 Date of Disbursement
Mailing Address PO Box 848			$\begin{bmatrix} \begin{smallmatrix} M & 9 & M \\ 0 & 9 & M \end{smallmatrix} / \begin{bmatrix} \begin{smallmatrix} D & D \\ 1 & 6 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y & Y \\ 2 & 0 & 0 & 8 \end{bmatrix}^{Y}$
City Chattanooga	State Zip Code TN 37401		Amount of Each Disbursement this Period
Purpose of Disbursement Contribution Candidate Name		011	2500.00
Sen. Robert Corker		Category/ Type	
X Senate President	sement For: 2006 Primary General X Other (specify)		Contribution
State: TN District: 2006	General Debt Re		
SUBTOTAL of Disbursements This Page (optional			8500.00

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	CHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE		
ΙΤ	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	,	(check only 21b 27	22 X 23 24 25 28a 28b 28c 29	2 3
	y Information copied from such Reports and Statem for commercial purposes, other than using the name					
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets A					
-	Full Name (Last, First, Middle Initial) Charles Boustany Jr For Congress				Transaction ID: 15886063 Date of Disbursement	
	Mailing Address 331 Beverly Drive				09 / 16 / 2008	
	City Lafayette	State Zip Code LA 70503			Amount of Each Disbursement this Per	riod
	Purpose of Disbursement Contribution			011	3000.00	_
	Candidate Name Mr. Charles Boustany Office Sought: X House Disburse	ment For: 2008		ategory/ Type		
	Senate X President	Primary General Other (specify)			Contribution	
	State: LA District: 07 Full Name (Last, First, Middle Initial) Charles Boustany Jr For Congress				Transaction ID: 15886064 Date of Disbursement	
	Mailing Address 331 Beverly Drive				M M / D 1 6 / Y 2 0 0 8 Y	
	•	State Zip Code LA 70503			Amount of Each Disbursement this Per	riod
	Purpose of Disbursement Contribution Candidate Name		C	011 Category/	5000.00	
	Mr. Charles Boustany Office Sought: X House Disburse Senate	ment For: 2008 Primary X General		Туре	Contribution	
	State: LA District: 07	Other (specify) ▼				
	Full Name (Last, First, Middle Initial) The Bluegrass Committee				Transaction ID: 15886066 Date of Disbursement	
	Mailing Address P.O. Box 3932				09 M / D 16 / Y 2008 Y	
		State Zip Code KY 40201			Amount of Each Disbursement this Per	rioc
	Purpose of Disbursement Contribution Candidate Name		011	5000.00	-	
	The Bluegrass Committee			ategory/ Type		
	Senate President	ment For: Primary General Other (specify)	•		Contribution	
	State: District:				1000000	_
	UBTOTAL of Disbursements This Page (optional)				13000.00	

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 27	22 X 23 24 25 26 28a 28b 28c 29 30b
Any Information copied from such Reports and Staten or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)	e and address of any political col	minitiee to son	cit continuations from such confinitee
Securities Industry and Financial Markets	Association Political Action	Committee	
Full Name (Last, First, Middle Initial) Tom Feeney for Congress			Transaction ID: 15886157 Date of Disbursement
Mailing Address 1420 Alafaya Trail Suite 103			$\begin{bmatrix} 0 & 9 & M \end{bmatrix}$ $\begin{bmatrix} 0 & 1 & 6 \end{bmatrix}$ $\begin{bmatrix} 0 & 1 & 4 & 4 & 4 & 4 & 4 & 4 & 4 & 4 & 4$
	State Zip Code FL 32765		Amount of Each Disbursement this Period
Purpose of Disbursement Contribution		011	3000.00
Candidate Name Tom Feeney	C	Category/ Type	
Senate President	ment For: 2008 Primary X General Other (specify)		Contribution
State: FL District: 24 Full Name (Last, First, Middle Initial)			
Red PAC			Transaction ID: 15886294 Date of Disbursement
Mailing Address 104 Hume Avenue			$\begin{bmatrix} 0 & 9 & M \end{bmatrix}$ $\begin{bmatrix} 1 & 1 & 6 \end{bmatrix}$ $\begin{bmatrix} 1 & 1 & 2 & 1 & 1 & 1 & 1 & 1 & 1 & 1 &$
City Alexandria	State Zip Code VA 22301		Amount of Each Disbursement this Period
Purpose of Disbursement Contribution		011	5000.00
Candidate Name Red PAC		Category/ Type	
Senate President	ment For: Primary General Other (specify) ▼		Contribution
State: District:			
Full Name (Last, First, Middle Initial) PETE PAC			Transaction ID: 15886489 Date of Disbursement
Mailing Address 7804 Evening Lane			$ \begin{bmatrix} M & M & M \\ 0 & 9 & M \end{bmatrix} $ $ \begin{bmatrix} M & 1 & 6 \\ 0 & 1 & 6 \end{bmatrix} $ $ \begin{bmatrix} Y & Y & Y & Y & Y & Y & Y & Y & Y & Y &$
City Alexandria	State Zip Code VA 22306		Amount of Each Disbursement this Period
Purpose of Disbursement Contribution		011	2500.00
Candidate Name PETE PAC		Category/ Type	
Senate President	ement For: Primary General Other (specify)		Contribution
State: District:			
SUBTOTAL of Disbursements This Page (optional)		>	10500.00

TOTAL This Period (last page this line number only)

•	CHEDULE B (FEC Form 3X)	Use separate schedule(s	s)	FOR LINE	-	PAGE 25 / 44
IT	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	´ ,	(check only 21b 27	22 🛛 23 🔲 2	4 25 8c 29
	Information copied from such Reports and Statem or commercial purposes, other than using the nam					
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets					
<u>/</u>	Full Name (Last, First, Middle Initial) OC PAC				Transaction ID: 158 Date of Disbursement	386654
	Mailing Address P.O. Box 1605				09 16	^Y 2008 ^Y
	City Alexandria	State Zip Code VA 22313			Amount of Each Disbu	
	Purpose of Disbursement Contribution Candidate Name			011 ategory/		5000.00
	Office Sought: House Disburse Senate President State: District:	ement For: Primary General Other (specify)		Гуре	Contribution	
	Full Name (Last, First, Middle Initial) Devin Nunes for Congress				Transaction ID: 158 Date of Disbursement	
	Mailing Address PO Box 6545				09 / 16	2008
	City Visalia	State Zip Code CA 93290			Amount of Each Disbu	
	Purpose of Disbursement Contribution Candidate Name Rep. Devin Nunes		Ca	011 ategory/ Type		3000.00
		ement For: 2008 Primary X General Other (specify)		1,550	Contribution	
	Full Name (Last, First, Middle Initial) Sessions for Congress				Transaction ID: 158 Date of Disbursement	389266
	Mailing Address PO Box 38585				09 16	[°] 2008
	City Dallas	State Zip Code TX 75238			Amount of Each Disbu	rsement this Perio
	Purpose of Disbursement Contribution		_	011		2500.00
	Candidate Name Rep. Pete Sessions		1	ategory/ Type		
	Senate President	ement For: 2008 Primary X General Other (specify)	-1		Contribution	
_	State: TX District: 05					10500.00

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ITEMIZED D	SBURSEMENTS	for each categ Detailed Sum			21b 27	22 28a		23 28b	24 28c	\vdash	25 29	
or for commercial pu	ied from such Reports and St urposes, other than using the				ny person	for the pu	rpos	e of so	liciting co			
\	MITTEE (In Full) ustry and Financial Mark	ets Association Po	olitical Action	ı C	ommitte	е						
Full Name (Last Buck McKeon	First, Middle Initial)							on ID:	15889 ment	9444		
Mailing Address		Road				0 9	M /	D 1	6 /	Ž 0	80	
City Santa Clarita			Code 321			Amou	nt of	Each	Disburse	ment th	his Pe	rioc
Purpose of Disb Contribution				_)11					200	0.00	
Candidate Name Buck McKeon					tegory/ ype							
Office Sought: State: CA	X House Dist	ursement For: Primary Other (specify)	2008 X General ▼			Contri	buti	ion				
Full Name (Last	First, Middle Initial) any Brown-Waite					Date o	of Dis	sburse				_
Mailing Address	704 Ponce De Leon B	Blvd				0 ^M 9	M /	1	6 /	ž o	80	
City Brooksville			Code 601			Amou	nt of	Each	Disburse			rio
Purpose of Disb			[_	011					250	0.00	_
Candidate Name	Brown-Waite				tegory/ ype							
Office Sought: State: FL	X House Disk Senate President District: 05	ursement For: Primary Other (specify)	2008 X General ▼			Contri	buti	ion				
	First, Middle Initial)							on ID: sburse	15889 ment)683		
Mailing Address	530 West 6th Street					0 ^M 9	M /	^D 1	6 /	ž 0	ŏ8	
City Erie			Code 5507			Amou	nt of	Each	Disburse	-		rio
Purpose of Disb Contribution Candidate Name				_	011					250	0.00	-
Rep. Phil Eng	lish				tegory/ ype							
Office Sought:	Senate President	ursement For: Primary Other (specify)	2008 X General			Contri	buti	ion				
	bursements This Page (optio	nal)			▶		_			7000	0.00	_
	District: 21	nal)				FEC	C So	chedule	e B (For			-

		Use separate schedule(s)	OR LINE NUMBER: PAGE 27 / 44 Check only one)
IT	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 22 X 23 24 25 2 27 28a 28b 28c 29 3
			y person for the purpose of soliciting contributions ittee to solicit contributions from such committee
$ \rangle$	Securities Industry and Financial Market	ets Association Political Action Co	mmittee
	Full Name (Last, First, Middle Initial) Republican Majority Fund Mailing Address P.O. Box 144		Transaction ID: 15889877 Date of Disbursement M 9 M / D 1 B / Y Y Y O N 8 Y
	City Alexandria	State Zip Code VA 22313	Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution Candidate Name	01 Cate	
	Republican Majority Fund Office Sought: House Senate President State: District:	Tyursement For: Primary General Other (specify) ▼	
	Full Name (Last, First, Middle Initial) Martinez for Senate Mailing Address PO Box 536176		Transaction ID: 15890333 Date of Disbursement O 9 M / D 1 6 / Y Y Y O N 8
	City Orlando Purpose of Disbursement Contribution Candidate Name	State Zip Code FL 32853 01 Cate	
	Mel Martinez Office Sought: House X Senate President State: FL District:	Ty ursement For: 2010 Primary X General Other (specify) ▼	Contribution
	Full Name (Last, First, Middle Initial) Ensign For Senate		Transaction ID: 15890566 Date of Disbursement
	Mailing Address 8917 Stafford Springs	s Drive	099 / 16 / 2008
	City Las Vegas	State Zip Code NV 89134	Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution	01	
	Candidate Name Sen. John Ensign	Cate Ty	
	Office Sought: House Disb X Senate President State: NV District:	X Primary General Other (specify) ▼	Contribution

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NAME OF COMMITTEE (in Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Tim Mahoney For Florida Mailing Address 4114 Northlake Blvd Ste 300 City State Zip Code Palm Beach Gardens FL 33410 Purpose of Disbursement Contribution Candidate Name (Last, First, Middle Initial) Committee to Elect Lincoln Davis Mailing Address Post Office Box 350 City State Zip Code Palm State Senate Primary X General Contribution Committee to Elect Lincoln Davis Mailing Address Post Office Box 350 City State Zip Code Primary X General Contribution Committee to Elect Lincoln Davis Mailing Address Post Office Box 350 City State Zip Code Primary X General Contribution City State Zip Code Contribution Committee to Elect Lincoln Davis Mailing Address Post Office Box 350 City State Zip Code Contribution Committee to Elect Lincoln Davis Mailing Address Post Office Box 350 City State Zip Code Contribution Contribution Condidate Name President State: TN District: 04 Full Name (Last, First, Middle Initial) Committee to Elect Lincoln Davis Address 162 Hurt Street, NE City Allanta GA 30307 Purpose of Disbursement Contribution Candidate Name President State: TN District: 04 Full Name (Last, First, Middle Initial) Committee to Elect Lincoln Davis Address 162 Hurt Street, NE City Allanta GA 30307 Purpose of Disbursement Contribution Candidate Name David Scott Office Sought: X House President For: 2008 Purpose of Disbursement Contribution Contr	TEMIZED DISBURSE			21b	22 X 23 24 25
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Full Name (Last, First, Middle Initial) Matheson For Congress			Transaction ID: 15918919 Date of Disbursement	
Mailing Address 677 South 200 West Suite A			09 18 7 2008	Y
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Full Name (Last, First, Middle Initial) Richard Neal For Congress Committee											15918	931		
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Mailing Address 36 Atwater Terrace						0 ^M 9			1	8		. 2	o ŏ	В
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Full Name (Last, First, Middle Initial) Moore for Congress Committee						Trans Date					15918 nent	937	7	
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NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Klein For Congress Mailing Address 21301 Powerline Road Suite 204 City State Zip Code FL 33433 Purpose of Disbursement Contribution Candidate Name President State: FL District: 22 Full Name (Last, First, Middle Initial) Leadership In the New Century (LINC PAC) Office Sought: House President State: District: Full Name (Last, First, Middle Initial) Democrats Win Seats PAC Mailing Address P.O. Box 71147 City Washington DC 20024 Purpose of Disbursement Office Sought: House President State: District: Full Name (Last, First, Middle Initial) Democrats Win Seats PAC Office Sought: House President Other (specify) ▼ State Zip Code Date (Specify) ▼ Amount of Each Disbursement this Perior Candidate Name Category/ Type Contribution Candidate Name Category/ Type Contribution Cardidate Name Category/ Type Contribution Category/	FEMIZED DISE	BURSEMENT			ge 21	b 22 X 23 24 25
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Mailing Address 426 C Street, NE						0 ^M 9	М	1	8 /	Y	ž	o ŏ e	B ^Y			
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Mailing Address 235 Massachusetts Aver	nue, NE					o ^M 9	М	[/] 1	8 /	Y	ž	0 0 8	B Y			
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Mailing Address 818 Connecticut Avenue Suite 1100						0 9		1	8 /	Ŀ	2	o ŏ 8	3			
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	Mailing Address	PO Box 600						09 /	18 / Y	ž 0 0 8	3 Y
	City Denver			tate	Zip Code 80201			Amount of Ea	ach Disburse	ment this F	Perio
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	Full Name (Last, F Friends of Chris							Transaction Date of Disbu		965	
	Mailing Address	P.O. Box 27070	1					09 /	1 8 / Y	ž 0 ŏ 8	3 Y
	City West Hartford			tate CT	Zip Code 06127			Amount of Ea	ach Disburse		_
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Securities Industry and Financial Markets	Association Political Action	Committee	
Full Name (Last, First, Middle Initial) Nelson for U.S. Senate Committee			Transaction ID: 15918966 Date of Disbursement
Mailing Address Post Office Box 540154 Suite B			0 9 M / D 1 8 / Y 2 0 0 8 Y
City Omaha	State Zip Code NE 68154		Amount of Each Disbursement this Perio
Purpose of Disbursement Contribution Candidate Name		011 Category/	1500.00
X Senate President	ement For: 2012 Primary General Other (specify)	Туре	Contribution
State: NE District: Full Name (Last, First, Middle Initial) BRIDGE PAC			Transaction ID: 15918972 Date of Disbursement
Mailing Address 499 South Capitol Stree Suite 412	t, SW		$\begin{bmatrix} \begin{smallmatrix} M \\ O \end{smallmatrix} \begin{smallmatrix} M \end{smallmatrix} \end{bmatrix} \ \begin{bmatrix} \begin{smallmatrix} D \\ D \end{smallmatrix} \end{smallmatrix} \ \begin{bmatrix} N \\ D \end{smallmatrix} \ \end{bmatrix} \ \\ to 1000000000000000000000000000000000000$
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Full Name (Last, First, Middle Initial) Rodney Alexander for Congress, Inc.			Transaction ID: 15925818 Date of Disbursement
Mailing Address P.O. Box 367 319 Nancy Road			$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & 9 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & D \\ 2 & 4 \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & 0 & 0 & 8 \end{bmatrix}$
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Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW		Transaction ID: 16024673 Date of Disbursement M 9 M / D 0 D / Y Y Y 0 0 8
,	State Zip Code DC 20005	Amount of Each Disbursement this Period
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Full Name (Last, First, Middle Initial) PNC Bank		Transaction ID: 16024676 Date of Disbursement
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	State Zip Code DC 20005	Amount of Each Disbursement this Period
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Office Sought: House Disburse Senate President	Categ Typ ment For: Primary General Other (specify) ▼	

SUBTOTAL of Disbursements This Page (optional)	•	145.74
TOTAL This Period (last page this line number only)	•	145.74

State: